

Usefulness of Sperm Chromatin Tests in the Context of Infertility Treatment: IUI, IVF, ICSI

Armand Zini, MD
McGill University

Clinical Value of Sperm DNA Tests

Overview

- Etiology of sperm DNA damage
- Influence of sperm DNA damage on reproductive outcomes
 - IUI
 - IVF
 - ICSI
- Clinical value of sperm DNA tests

Sperm DNA Damage: Etiology



Multi-factorial



Sperm DNA Damage: Etiology



Multi-factorial

Hormonal
Temperature
Toxins
Oxidants



Temperature
Toxins
Oxidants

Idiopathic
Genetic?
Developmental?

Sperm DNA Compaction: Evolution During Spermiogenesis



→ → → → → Cytoplasmic Reduction → → → → →

Histones → → → → → TP → → → → → Protamines

→ → → → → Nuclear Compaction → → → → →

→ → → → → Transient DNA nicks → → → → →

Marcon & Boissoneault *Biol Reprod* 2004

Sperm DNA Compaction: Evolution During Spermiogenesis



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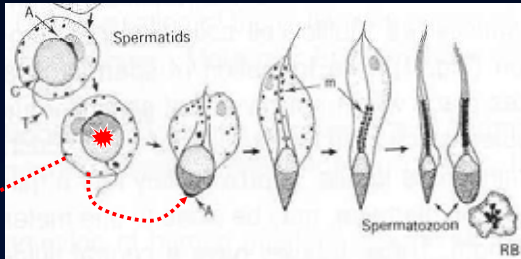
→ → → → → Transient DNA nicks → → → → →

Marcon & Boissoneault *Biol Reprod* 2004

Sperm DNA Damage: Apoptosis

- The Concept of "Abortive apoptosis"
 - Cell enters and then escapes apoptosis

Sakkas *Reprod Biomed Online* 2003
Brinkworth & Nieschlag *Mutat Res* 2000



Sperm DNA Integrity

Why examine sperm DNA integrity?

1. We need better markers of male fertility potential than conventional semen parameters

→ To more accurately diagnose male infertility

Semen Analysis: World Health Organization (WHO) Guidelines

- **Conventional semen parameters are fair markers**
 - Exhibit a high degree of variability
 - Modest predictors of male fertility potential
- **Current WHO standards/thresholds fail to meet rigorous clinical and statistical standards**

WHO, 1999
Guzick et al, *NEJM* 2001
Menkveld et al, *Hum Reprod* 2001

Sperm DNA Integrity

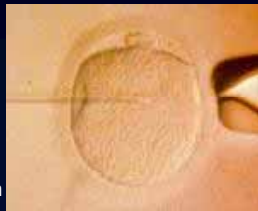
Why examine sperm DNA integrity?

2. To identify male fertility markers that can predict reproductive outcomes after ARTs (especially, IVF and ICSI)

- conventional semen parameters are not predictive

IVF/ICSI: A Revolutionary Treatment

- Most significant advance in treatment of male infertility
 - Oligospermia
 - Obstructive azoospermia
 - Non-obstructive azoospermia
- Pregnancy rates: 30-50%
 - independent of semen quality
- IVF/ICSI is now an important part of the treatment algorithm



Predictors of IVF/ICSI Outcome: Male Factors

- Presence of viable sperm (from any source)
 - Nagy et al, *Hum Reprod* 1995
 - Creus et al, *Hum Reprod* 2000
- Morphologically normal sperm
 - De Vos et al, *Fertil Steril* 2003
 - Bartoov et al, *Fertil Steril* 2003
- Sperm DNA integrity?

Sperm DNA Damage (Animal studies): Influence on IVF outcomes

- > Sperm DNA damage was induced by gamma radiation
- > Spermatozoa were then used in IVF cycles

Ahmadi & Ng, *J Exp Zool* 1999

Parameter	Gamma radiation dosage (GY)				
	0	5	10	50	100
Fertilization(%)	53	64	60	59	61
Blastocyst (%)	50	20	8	3	2
Live Fetus	34	21	0	-	-

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Fatehi et al, *J Androl* 2006 – Bovine Model

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Perez-Crespo et al, *J Androl* 2008 – Mouse Model – frozen-thawed sperm

Sperm DNA Integrity

Why examine sperm DNA integrity?

3. To evaluate the influence of sperm DNA damage on the health of the IVF - ICSI child because:

- Natural barriers to fertilization are removed at ICSI
- Infertile men exhibit high levels of sperm DNA damage
- Pregnancy is possible despite high levels of DNA damage
- Experimental (animal) studies suggest that sperm DNA damage might adversely impact the health of the child

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Sperm DNA Damage and Fertility



Infertile men have higher levels of sperm DNA - Chromatin damage than fertile men

Chromatin Structure: Evenson et al, *Hum Reprod* 1999
..... Spano et al, *Fertil Steril* 2000
..... Zini et al, *Fertil Steril* 2001

DNA Fragmentation: Hughes et al, *Hum Reprod* 1996
..... Irvine et al, *J Androl* 2000

DNA Oxidation Sen & Ong, *Free Rad Biol Med* 2000

Protamine Deficiency: Gatewood et al, *J Biol Chem* 1990
..... Carrell & Liu, *J Androl* 2001
..... Zhang et al, *J Androl* 2006

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Sperm DNA Integrity: Influence on Health of the Offspring

- > Mouse ICSI studies (fresh [N] and frozen-thawed spz [DFS])
 - > CD1 and B6D2F1 mouse strains
- Fernandez-Gonzalez et al, Biol Reprod 2008

ICSI with DFS (compared to N sperm) →

- > Reduced embryo development
- > Reduced number of live pups
- > Development of atypical tumors in 33% of females (CD1)
- > Reduced longevity (85% vs. 100% surviving at 25 weeks)
- > Altered behavioral responses ("anxiety-like reactions")

**Sperm DNA Damage:
Practical Application**



**Are measures of sperm DNA damage
associated with reproductive outcomes?**

Systematic Review & Meta-analysis

Examined all studies on sperm DNA and...

- > IUI pregnancy
- > IVF pregnancy
- > ICSI pregnancy
- > Pregnancy loss (after IVF and ICSI)

Systematic Review & Meta-analysis

Diagnostic test

- > Sperm DNA integrity / damage

Reproductive outcomes

- > Fertilization rate
- > Embryo quality
- > Pregnancy rate (clinical pregnancy)
- > Pregnancy loss

Systematic Review & Meta-analysis

Pregnancy
Disease + (no preg) Disease - (+ preg)

Test + (>cutoff)	<u>a</u>	<u>b</u>
DNA damage	<u>c</u>	<u>d</u>
Test - (<cutoff)		

Systematic Review & Meta-analysis

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Test - (<cutoff)		

Systematic Review & Meta-analysis

Disease + (no preg) Disease - (preg)

Test + (>cutoff)	<u>a</u> (true + test)	<u>b</u> (false + test)
DNA damage	<u>c</u>	<u>d</u>
Test - (<cutoff)	(false - test)	(true - test)

Sensitivity = $a/(a+c)$ (true + test rate)
 Specificity = $d/(b+d)$ (true - test rate)
 Odds Ratio = ad / bc (measure of assoc. b/n test and disease)
 PPV (pos. predictive value) = $a/(a+b)$ (disease prob if + test)
 NPV (neg. predictive value) = $d/(c+d)$ (no disease prob if - test)

Systematic Review & Meta-analysis

	Disease + (no preg)	Disease - (preg)
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Sperm DNA Damage and IUI Pregnancy

Study	n	Stimulation	Design
Duran,'02	154	mixed	prospective, mixed etiology
Muriel, '06	100	100%	prospective, mixed etiology
Bungum,'07	387	100%	prospective, consec, <40, UE Inf

Sperm DNA Damage and IUI Pregnancy

Study	n	%hDD	Preg	Assay	Cutoff*	Exclusion
Duran,'02	154	---	↓	TUNEL	4%	no 2 X 2 table
Muriel, '06	100	---	0	SCD	---	no cutoff, no 2 X 2
Bungum,'07	387	17	↓	SCSA	30%	OK

Sperm DNA Damage and IUI Pregnancy

Study	n	%hDD	Sens	Spec	OR	(95% CI)
Bungum, '07	388	16	0.21	0.99	9.9	(2.37, 41.51)

Odds ratio = 9.9 (2.37, 41.51), $p < 0.001$
 So, sperm DNA damage has a significant effect on IUI PR

Clinical Application?

Positive predictive value: 97% no PR (3% PR)
 Negative predictive value: 24% PR

Sperm DNA Damage and IVF Outcomes

Study	n	Design	Fem - Selection	Fertilization
Filatov '99	176	not specified	none	0
Host, '00...	175	prospective, consecutive	none	↓
Tomlinson, '01	140	not specified	none	0
Tomsu, '02	40	prospective	<40 yo	0
Morris, '02	20	retrospective	<40 yo	0
Henkel, '03	208	prospective	none	0
Gandini, '04	12	prospective	none	0
Huang, '05	217	retrospective	none	↓
Boe-Hansen, '06	139	prospective	fsh<10	NA
Borini, '06	82	not specified	none	↓
Bakos, '07	45	not specified	none	↓
Benchaib, '07	84	prospective	none	0
Bungum, '07	388	prospective, consecutive	<40 yo, fsh<12	0
Lin, '07	137	prospective	<40, fsh<10	0
Frydman, '07	117	prospective	<38, fsh<15	0
Total	1809	15 studies		

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Host, '00...	175	prospective, consecutive	none	↓
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Morris, '02	20	retrospective	<40 yo	0
Henkel, '03	208	prospective	none	0
Gandini, '04	12	prospective	none	0
Huang, '05	217	retrospective	none	↓
Boe-Hansen, '06	139	prospective	fsh<10	NA
Borini, '06	82	not specified	none	↓
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Lin, '07	137	prospective	<40, fsh<10	0
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Henkel, '03	208	prospective	none	0
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Sperm DNA Damage and IVF Outcomes

Study	n	Design	Female age	Fertilization
Filatov '99	176	not specified	not controlled	0
Host, '00...	175	prospective, consecutive	controlled	↓
Tomlinson, '01	140	not specified	controlled	0
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Bungum, '07	388	prospective, consecutive	controlled	0
Lin, '07	137	prospective	controlled	0
Frydman, '07	117	prospective	controlled	0
Total	1809	15 studies		

Sperm DNA Damage and IVF Pregnancy

Study	n	PREG	Assay	Cutoff	Cutoff Justification
Filatov '99	176	↓	CC*	50%	Based on fertile population
Host, '00...	175	↓	TUNEL	4%	Based on fertile population
Tomlinson, '01	140	↓	ISNT	----	no cutoff
Tomsu, '02	40	↓	Comet	----	no cutoff
Morris, '02	20	0	Comet	----	no cutoff
Henkel, '03	208	0	TUNEL	37%	Best CO from ROC analysis
Gandini, '04	12	0	SCSA	27%	Based on Evenson 2000, 2002
Huang, '05	217	0	TUNEL	10%	Not justified
Boe-Hansen, '06	139	↓	SCSA	27%	Based on Evenson 2000, 2002
Borini, '06	82	↓	TUNEL	10%	Based on Benchab 2003
Bakos, '07	45	↓	TUNEL	----	no cutoff
Benchab, '07	84	↓	TUNEL	15%	Based on IVF-ICSI results
Bungum, '07	388	↓	SCSA	30%	Based on Evenson 2000, 2002
Lin, '07	137	↓	SCSA	27%	Based on Evenson 2000, 2002
Frydman, '07	117	↓	TUNEL	35%	Median value

*Chromatin compaction (by flow cytometry)

Systematic Review & Meta-analysis

		Pregnancy	
		Disease + (no preg)	Disease - (+ preg)
DNA damage	Test + (>cutoff)	a	b
	Test - (<cutoff)	c	d

Sperm DNA Damage and IVF Pregnancy

Fixed Effects Model:

Combined **Odds ratio = 1.67** (1.27, 2.20), $p < 0.01$

Clinical Application?

Positive predictive value (PPV median): 74% no PR (**26% PR**)

Negative predictive value (NPV median): **34% PR**

Clinical significance of an 8% difference in PR?

Sperm DNA Damage and ICSI Outcomes

Study	n	Design	Fem - Selection	Fertilization
Hammadeh, '96	61	prospective	none	0
Host, '00...	61	prospective, consecutive	none	0
Virant-Klun, '02	183	prospective	none	↓
Morris, '02	40	retrospective	<40 yo	0
Henkel, '03	54	prospective	none	0
Gandini, '04	22	prospective	none	0
Huang, '05	86	retrospective	none	↓
Check, '05	104	not specified, IVF failure	none	N/A
Zini, '05	60	prospective, consecutive	<40	0
Boe-Hansen, '06	47	prospective	fsh<10	N/A
Borini, '06	50	not specified	none	0
Muriel, '06	85	prospective	none	↓
Benchaib, '07	218	prospective	none	0
Bungum, '07	223	prospective, consecutive	<40 yo, fsh<12	0
Lin, '07	86	prospective	<40, fsh<10	0
Bakos, '07	68	not specified	none	0
Total	1450	16 studies		

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Sperm DNA Damage and ICSI Pregnancy

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Hammadeh, '96	61	↓	A-Blue	29%	ROC analysis
Host, '00...	61	0	TUNEL	4%	Based on fertile population
Virant-Klun, '02	183	0	AO	56%	Based on Liu & Baker 1992
Morris, '02	40	0	Comet	----	No cutoff
Henkel, '03	54	↓	TUNEL	24%	ROC analysis
Gandini, '04	22	0	SCSA	30%	Based on Evenson 2000, 2002
Huang, '05	86	0	TUNEL	4%	Not justified
Check, '05	104	0	SCSA	30%	Based on Evenson 2000, 2002
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Borini, '06	50	↓	TUNEL	10%	Based on Benchaib 2003
Muriel, '06	85	0	SCD	----	No cutoff
Benchaib, '07	218	↓	TUNEL	15%	Based on ART results (10%, '03)
Bungum, '07	223	0	SCSA	30%	Based on Evenson 2000, 2002
Lin, '07	86	0	SCSA	27%	Based on Evenson 2000, 2002
Bakos, '07	68	↓	TUNEL	35%	Cannot construct 2 x 2 table
Total	1450				

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Total	1450				

Sperm DNA Damage and ICSI Pregnancy

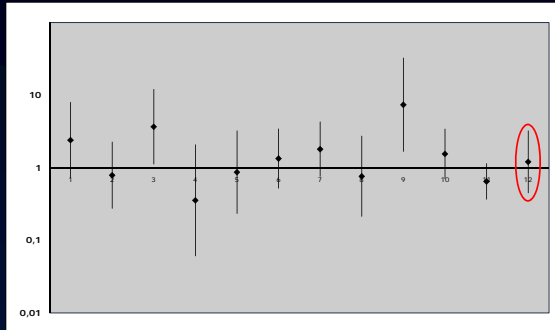
Study	n	%DD	Sens	Spec	OR	(95% CI)
Hammadeh, '96	61	44	0.50	0.70	2.40	(0.72, 7.96)
Host, '00...	61	59	0.57	0.38	0.79	(0.28, 2.25)
Henkel, '03	54	48	0.68	0.63	3.67	(1.12, 12.0)
Gandini, '04	22	41	0.31	0.44	0.36	(0.06, 2.08)
Huang, '05	86	57	0.64	0.50	1.80	(0.76, 4.27)
Zini, '05	60	18	0.17	0.81	0.87	(0.23, 3.22)
Check, '05	104	28	0.29	0.76	1.34	(0.52, 3.43)
Boe-Hansen, '06	47	38	0.36	0.57	0.76	(0.21, 2.72)
Borini, '06	50	60	0.71	0.75	7.36	(1.67, 32.4)
Benchaib, '07	218	17	0.19	0.87	1.55	(0.70, 3.41)
Bungum, '07	223	33	0.29	0.61	0.65	(0.37, 1.14)
Lin, '07	86	24	0.26	0.77	1.21	(0.45, 3.23)
Total	1074	39%	0.33	0.70		

Test for Homogeneity: $P > 0.1$

Fixed Effects Model:

Combined Odds ratio = 1.20 (0.91, 1.59), $P > 0.05$

Sperm DNA Damage and ICSI Pregnancy



Sperm DNA Damage and ICSI Pregnancy

Fixed Effect Model:

Combined **Odds ratio = 1.20** (0.91, 1.59), $P > 0.05$

Clinical Application?

Sperm DNA damage has **no significant effect** on pregnancy rates after ICSI

Sperm DNA Damage and IVF or ICSI

What about the mixed IVF and ICSI studies?

Sperm DNA Damage and IVF or ICSI

Study	n	Design	Fem - Selection	Fertilization
Larson-C, '03	89	retrospective	none	0
Virro, '04	249	retrospective	none	0
Seli, '04	49	prospective, consecutive	none	↓
Payne, '05	100	prospective	none	↓
Meseguer, '07	38	prospective	none	0
Velez de la C, '08	622	prospective, consecutive	none	↓
Tavalae, '09	92	prospective	none	0
Total	1239	7 studies		

Sperm DNA Damage and IVF or ICSI

Study	n	Design	Female age	Fertilization
Larson-C, '03	89	retrospective	controlled	0
Virro, '04	249	retrospective	controlled	0
Seli, '04	49	prospective, consecutive	controlled	↓
Payne, '05	100	prospective	controlled	↓
Meseguer, '07	38	prospective	controlled	0
Velez de la C, '08	622	prospective, consecutive	controlled	↓
Tavalae, '09	92	prospective	controlled	0
Total	1239	7 studies		

Sperm DNA Damage and IVF or ICSI

Study	n	PREG	Assay	Cutoff	Cutoff Justification
Larson-C, '03	89	↓	SCSA	27%	Based on Evenson 2000, 2002
Virro, '04	249	↓	SCSA	30%	Based on Evenson 2000, 2002
Seli, '04	49	0	TUNEL	20%	not justified
Payne, '05	100	0	SCSA	27%	Based on Evenson 2000, 2002
Meseguer, '07	38	↓	DNAox	27%	Based on fertile population
Velez de la C, '08	622	0	SCD	18%	Regression model
Tavalae, '09	92	0	SCD	-----	-----
Total	1239	7 studies			

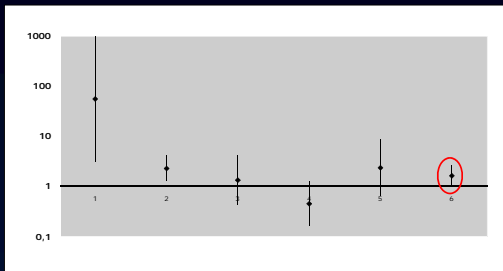
Sperm DNA Damage and IVF or ICSI

Study	n	PREG	Assay	Cutoff	Cutoff Justification
Larson-C, '03	89	↓	SCSA	27%	Based on Evenson 2000, 2002
Virro, '04	249	↓	SCSA	30%	Based on Evenson 2000, 2002
Seli, '04	49	0	TUNEL	20%	not justified
Payne, '05	100	0	SCSA	27%	Based on Evenson 2000, 2002
Meseguer, '07	38	↓	DNAox	27%	Based on fertile population
Velez de la C, '08	622	0	SCD	18%	Cannot construct 2x2 table
Favalaae, '09	92	0	SCD		Cannot construct 2x2 table

Sperm DNA Damage and IVF or ICSI

Study	n	PREG	Assay	Cutoff	Cutoff Justification
Larson-C, '03	89	↓	SCSA	27%	Based on Evenson 2000, 2002
Virro, '04	249	↓	SCSA	30%	Based on Evenson 2000, 2002
Seli, '04	49	0	TUNEL	20%	not justified
Payne, '05	100	0	SCSA	27%	Based on Evenson 2000, 2002
Meseguer, '07	38	↓	DNAox	27%	Based on fertile population
Total	525		5 studies		

Sperm DNA Damage and IVF or ICSI



Fixed Effects Model:
 Combined Odds ratio = 1.63 (1.03, 2.59), p < 0.05

Sperm DNA Damage and Pregnancy Loss after IVF and/or ICSI

Pregnancy Loss (All definitions)

Combined **Odds ratio = 2.48** (1.58, 4.04), $p < 0.0001$

Clinical Application?

Positive predictive value (**PPV** median): **37% PL**

Negative predictive value (**NPV** median): 90% no PL (**10% PL**)

Sperm DNA Damage and Pregnancy Loss after IVF and/or ICSI

Pregnancy Loss (All)

Combined **Odds ratio = 2.48** (1.58, 4.04), $p < 0.0001$

Pregnancy Loss (IVF, 5 studies)

Combined **Odds ratio = 2.17** (1.02, 4.60), $p < 0.05$

Pregnancy Loss (ICSI, 5 studies)

Combined **Odds ratio = 2.73** (1.43, 5.20), $p < 0.05$

Summary of Findings

Sperm DNA damage and ...

IUI pregnancy: strong negative impact (OR = 9.9)

IVF pregnancy: modest negative impact (OR = 1.6)

ICSI pregnancy: no effect

IVF-ICSI pregnancy loss: moderate impact (OR = 2.5)

Explanation of Findings ...

IUI pregnancy: strong effect on *in vivo* reproduction

IVF pregnancy: modest early effect (?selection process)

ICSI pregnancy: no early effect (?selection process)

IVF-ICSI pregnancy loss: moderate late effect on embryo-fetal development

Sperm DNA Damage:
Practical Application



What is the potential clinical utility of these assays?

3 Clinical Scenarios:

- 1. Infertile couples with mild male factor
- 2. Infertile couples with severe male factor
- 3. Infertile couples with pregnancy loss post-IVF

Sperm DNA Damage:
Practical Application



1. Infertile couples with mild male factor:

IUI: Positive predictive value: 97% no PR (3% PR)
Negative predictive value: 24% PR

If +test → IVF or ICSI (ICSI slightly better)

If +test → Increased risk of PL with IVF or ICSI

But prevalence of +test (17%) and sensitivity (20%) are low
Clinical decision based on 1 valid IUI study

Sperm DNA Damage:
Practical Application



2. Infertile couples with severe male factor:

ICSI (or possibly IVF)

If +test → IVF or ICSI (ICSI slightly better)

If +test → Increased risk of PL with IVF or ICSI

Test result has little impact on treatment options but may help estimate risk of pregnancy loss

Sperm DNA Damage: Practical Application



3. Infertile couples with pregnancy loss post-IVF

Test Characteristics:

Median prevalence of a + test is 25-30%

Median sensitivity 40% → many other causes for PLoss

Median specificity 85 % → + test points to male factor in PL

If +test → Increased risk of PL with IVF or ICSI

Evaluate the male & correct any male factor

Sperm DNA Damage: Treatment Options?



- Minimize exposure to gonadotoxins, hyperthermia
 - E.g. smoking, medications, saunas, hot-tubs
- Vitamin (antioxidant) supplementation
 - Vitamins E, C, selenium, folate, zinc
 - Fraga et al, *PNAS* 1992
 - Greco et al, *J Androl* 2005
 - Menezo et al, *RBM Online* 2007
 - Silver et al, *J Androl* 2005
- Antibiotics for semen infection
- Varicocelectomy
 - Sperm DNA damage decreases after varicocele repair
 - Zini et al, *Hum Reprod* 2005
 - Werthman et al, *Fertil Steril* 2007
 - Chen et al, *J Urol* 2008
- ICSI with testicular sperm
 - 18 couples: 2 failed ICSI & >15% sperm DNA damage (TUNEL)
 - TESE/ICSI clinical pregnancy rate: 44% (8/18)
 - Greco et al, *Hum Reprod* 2004
